

**2008 Forest Practice  
Rules Apply to this NTMP**

FOR ADMIN. USE ONLY Amendments-  
date & S or M

1. \_\_\_\_\_ 7. \_\_\_\_\_
2. \_\_\_\_\_ 8. \_\_\_\_\_
3. \_\_\_\_\_ 9. \_\_\_\_\_
4. \_\_\_\_\_ 10. \_\_\_\_\_
5. \_\_\_\_\_ 11. \_\_\_\_\_
6. \_\_\_\_\_ 12. \_\_\_\_\_

**NON-INDUSTRIAL TIMBER  
MANAGEMENT PLAN**

STATE OF CALIFORNIA  
DEPARTMENT OF FORESTRY  
AND FIRE PROTECTION  
RM - 68 (Rev. 01-00)

**SWANTON PACIFIC RANCH  
NTMP**

(In the CDF FPS, this is "THP Description")

FOR ADMIN. USE ONLY

NTMP # **1-07NTMP-020 SCR**

NOV 06 2007

Dates Rec'd **DEC 26 2007**

Date Filed **JAN 05 2008**

Date Approved **JUN 10 2008**

This Nonindustrial Timber Management Plan (NTMP) form, when properly completed, is designed to comply with the Forest Practice Act (FPA) and Board of Forestry and Fire Protection rules. **If financial assistance is requested to cover some of the expenses of the NTMP, contact the local CDF Forestry Assistance Specialist prior to preparation of the NTMP.** See separate instructions for information on completing this form. NOTE: The form must be printed legibly in ink, typewritten, or electronically printed. The NTMP is divided into six sections. If more space is necessary to answer a question, continue the answer at the end of the appropriate section of your NTMP. However, if writing an electronic version, insert additional space for your answer. Distinguish answers from questions by font change, bold or underline.

**SECTION I - GENERAL INFORMATION**

This NTMP conforms to my/our plan and upon approval, I/we agree to conduct harvesting in accordance therewith. Consent is hereby given to the Director of Forestry and Fire Protection, and his or her agents and employees, to enter the premises to inspect timber operations for compliance with the Forest Practice Act and Forest Practice Rules.

1. TIMBER OWNER(S) OF RECORD: Name Cal Poly Corporation  
Address Building 15, 1 Grand Avenue  
City San Luis Obispo State CA Zip 93407 Phone (805) 756-1402  
Signature Starr Lee Legal Counsel Date 12-10-07

NOTE: The timber owner is responsible for payment of a yield tax. Timber Yield Tax information may be obtained at the Timber Tax Division, State Board of Equalization, P.O. Box 942879, Sacramento, California 94279-0060; phone 1-800-400-7115; BOE Web Page at [http:// www.boe.ca.gov](http://www.boe.ca.gov).

2. TIMBERLAND OWNER(S) OF RECORD: Name Cal Poly Corporation  
Address Building 15, 1 Grand Avenue  
City San Luis Obispo State CA Zip 93407 Phone (805) 756-1402  
Signature Starr Lee Legal Counsel Date 12-10-07

TIMBERLAND OWNER(S) OF RECORD: Name Steve Spafford  
Address 410 Roberta Avenue  
City Pleasant Hill State CA Zip 94523 Phone (925) 938-2309  
Signature Steve Spafford Date 12/11/07

TIMBERLAND OWNER(S) OF RECORD: Name Susan Spafford England

Address 5519 South Commons Road

City Turlock State CA Zip 95380 Phone (209) 632-5027

Signature Susan Spafford England Date 12/11/07

TIMBERLAND OWNER(S) OF RECORD: Name Stuart Spafford

Address 26738 County Road 98

City Davis State CA Zip 95616 Phone (530) 400-1789

Signature Stuart Spafford Date 12/11/07

3. LICENSED TIMBER OPERATOR(S): Name Big Creek Lumber Company Lic. No. A300

Address 3564 Highway 1

City Davenport State CA Zip 95017 Phone (831) 457-6383

Signature Robert Reynolds Date 12-19-07

NOTE: If LTO is not known upon plan submission, submit information in a Notice of Timber Operations as per 14 CCR 1090.7.

4. PLAN SUBMITTER(S): Name Cal Poly Corporation

Address Building 15, 1 Grand Avenue

City San Luis Obispo State CA Zip 93407 Phone (805) 756-1402

Signature Starr Lee Legal Counsel Date 12-10-07

PLAN SUBMITTER(S): Name Steve Spafford\*

Address 410 Roberta Avenue

City Pleasant Hill State CA Zip 94523 Phone (925) 938-2309

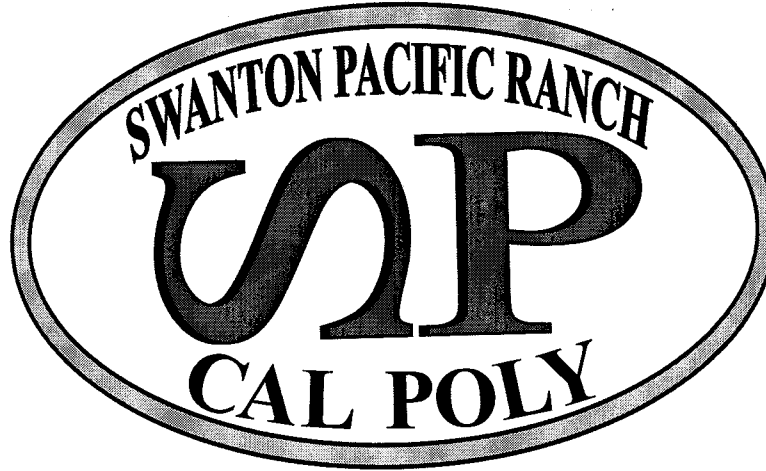
Signature Steve Spafford Date 12/11/07

PLAN SUBMITTER(S): Name Susan Spafford England\*

Address 5519 South Commons Road

City Turlock State CA Zip 95380 Phone (209) 632-5027

Signature Susan Spafford England Date 12/11/07



CALIFORNIA POLYTECHNIC STATE  
UNIVERSITY  
SAN LUIS OBISPO

SWANTON PACIFIC RANCH

NONINDUSTRIAL TIMBER  
MANAGEMENT PLAN

2007

PLAN SUBMITTER(S): Name Stuart Spafford\*

Address 26738 County Road 98

City Davis State CA Zip 95616 Phone (530) 400-1789

Signature [Signature] Date 12/11/07

**\*Owners of parcel 057-121-10, (Steve Spafford, Susan Spafford England, and Stuart Spafford) are relieved of plan submitter responsibilities by Cal Poly Corporation, who assumes all plan submitter responsibilities, as documented in a letter included in Section V.**

5. a. If LTO is not present on-site, list person to contact on-site who is responsible for the conduct of the operation. If unknown, so state, but name must be included on each Notice of Timber Operations or amended into NTMP.

**The Licensed Timber Operator is responsible for the conduct of operations. If the LTO is not present on-site, the RPF or another person named in the Notice of Timber Operations shall be the appropriate contact.**

- b. ☒ Yes ☐ No Will the timber operator be employed for the construction and maintenance of roads and landings during conduct of timber operations? If no, who is responsible?

- c. Who is responsible for erosion control maintenance after timber operations have ceased and until certification of the Work Completion Report? If not the LTO, then a written agreement must be provided per 14 CCR 1050 (c).

**The Licensed Timber Operator shall be responsible for proper construction, inspection and maintenance of erosion control structures in the pertinent management unit until the work completion report is approved by the Director. Cal Poly Corporation shall be responsible for inspection and any needed maintenance and repair of erosion controls during the remainder of the prescribed maintenance period for all parcels in the NTMP. Per 14 CCR 916.9(p), the erosion control maintenance period on permanent and seasonal roads and associated landings that are not abandoned in accordance with 14 CCR 923.8 shall be three years. A letter from Cal Poly Corporation to the other Timberland Owners, in which Cal Poly Corporation assumes all responsibility for erosion control maintenance resulting from timber harvest operations is included in Section V.**

6. Expected date of commencement of timber operations, which in addition will require filing a Notice of Timber Operations: ☒ date of NTMP conformance, or ☐ \_\_\_\_\_ (date)

7. The timber operation will occur within the:  
☒ COAST FOREST DISTRICT ☐ The Tahoe Regional Planning Authority Jurisdiction  
☒ Southern Subdistrict of the Coast F.D. ☒ A County with Special Regulations, identify:  
**Santa Cruz County**  
☐ SOUTHERN FOREST DISTRICT ☐ Coastal Zone, no Special Treatment Area.  
☐ High use subdistrict of the Southern F.D. ☒ Special Treatment Area(s), type and identify:  
**Molino Creek Coastal Commission Special Treatment Area**  
☐ NORTHERN FOREST DISTRICT ☐ Other

8. Location of the timber operation by legal description: **Davenport, USGS 7.5' Quadrangle 1997**  
Base and Meridian: ☒ Mount Diablo; ☐ Humboldt; ☐ San Bernardino.

Section	Township	Range	Acreage	County	Assessor's Parcel Number
<u>8,9,16,17</u>	<u>10S</u>	<u>R3W</u>	<u>701</u>	<u>Santa Cruz</u>	<u>057-121-07, 057-121-10,</u> <u>057-121-22, 057-121-14,</u> <u>057-151-03, 057-251-08,</u> <u>057-251-09</u>

Portions of the plan area are located in the Rancho Agua Puerca y Las Trancas.

Information substantiating the mapped property boundaries, including recorded deeds and survey records, are housed at Cal Poly Swanton Pacific Ranch.

Assessor's parcels 057-251-08 and 057-251-09 are currently zoned Residential Agriculture (RA) and are in the process to re-zone to Timber Production (TP). No harvest operations shall occur on these parcels until they are re-zoned to TP and the RPF has provided CDF proof of re-zoning via minor amendment. An application to demonstrate that the parcels are in the process to rezone is included in Section V.

Signature \_\_\_\_\_ Signature on previous page \_\_\_\_\_ Date \_\_\_\_\_

**\*Owners of parcel 057-121-10, (Steve Spafford, Susan Spafford England, and Stuart Spafford) are relieved of plan submitter responsibilities by Cal Poly Corporation, who assumes all plan submitter responsibilities, as documented in a letter included in Section V.**

5. a. If LTO is not present on-site, list person to contact on-site who is responsible for the conduct of the operation. If unknown, so state, but name must be included on each Notice of Timber Operations or amended into NTMP.

**The Licensed Timber Operator is responsible for the conduct of operations. If the LTO is not present on-site, the RPF or another person named in the Notice of Timber Operations shall be the appropriate contact.**

b. ☒ Yes ☐ No Will the timber operator be employed for the construction and maintenance of roads and landings during conduct of timber operations? If no, who is responsible?

c. Who is responsible for erosion control maintenance after timber operations have ceased and until certification of the Work Completion Report? If not the LTO, then a written agreement must be provided per 14 CCR 1050 (c).

**The Licensed Timber Operator shall be responsible for proper construction, inspection and maintenance of erosion control structures in the pertinent management unit until the work completion report is approved by the Director per 14 CCR 1050(c). Cal Poly Corporation shall be responsible for inspection and any needed maintenance and repair of erosion controls during the remainder of the prescribed maintenance period per 14 CCR 1050(c). Cal Poly Corporation shall be responsible for controlling gated access to the NTMP area for the entire erosion control maintenance period per 14 CCR 926.9. The erosion control maintenance period on permanent and seasonal roads and associated landings that are not abandoned in accordance with 14 CCR 923.8 shall be three years per 14 CCR 916.9(p). A letter from Cal Poly Corporation to the other Timberland Owners/ Plan Submitters, in which Cal Poly Corporation assumes all responsibility for erosion control maintenance resulting from timber harvest operations is included in Section V.**

6. Expected date of commencement of timber operations, which in addition will require filing a Notice of Timber Operations: ☒ date of NTMP conformance, or ☐ \_\_\_\_\_ (date)

7. The timber operation will occur within the:
- |  |  |
|--|--|
| <input checked="" type="checkbox"/> COAST FOREST DISTRICT                  | <input type="checkbox"/> The Tahoe Regional Planning Authority Jurisdiction  |
| <input checked="" type="checkbox"/> Southern Subdistrict of the Coast F.D. | <input checked="" type="checkbox"/> A County with Special Regulations, identify:<br><b>Santa Cruz County</b>                                       |
| <input type="checkbox"/> SOUTHERN FOREST DISTRICT                          | <input type="checkbox"/> Coastal Zone, no Special Treatment Area.  |
| <input type="checkbox"/> High use subdistrict of the Southern F.D.         | <input checked="" type="checkbox"/> Special Treatment Area(s), type and identify:<br><b>Molino Creek Coastal Commission Special Treatment Area</b> |
| <input type="checkbox"/> NORTHERN FOREST DISTRICT                          | <input type="checkbox"/> Other   |

8. Location of the timber operation by legal description: **Davenport, USGS 7.5' Quadrangle 1997**  
Base and Meridian: ☒ Mount Diablo; ☐ Humboldt; ☐ San Bernardino.

<u>Section</u>	<u>Township</u>	<u>Range</u>	<u>Acreage</u>	<u>County</u>	<u>Assessor's Parcel Number</u>
<u>8,9,16,17</u>	<u>10S</u>	<u>R3W</u>	<u>701</u>	<u>Santa Cruz</u>	057-121-07, 057-121-10, 057-121-22, 057-121-14, 057-151-03, 057-251-08, 057-251-09

Portions of the plan area are located in the Rancho Agua Puerca y Las Trancas.

Information substantiating the mapped property boundaries, including recorded deeds and survey records, are housed at Cal Poly Swanton Pacific Ranch.

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PART OF PLAN

RECEIVED

JAN 30 2008

3.1 REVISED

COAST AREA OFFICE  
RESOURCE MANAGEMENT

## PREFACE



Al Smith tree rising above others in Tranquility Flats 2005

The completion of the Nonindustrial Timber Management Plan for Swanton Pacific Ranch realizes the goal of having a long-term timber management plan in place for both the Swanton and Valencia properties. Developing this document has been possible through the collective expertise of Ranch staff, forestry faculty and students, and forestry professionals from Big Creek Lumber Company. The proper management of timber resources of the Ranch is among our highest priorities and the NTMP ensures that we will be approaching this in the most socially-responsible manner in accordance with our Forest Stewardship Council certification and the California Forest Practice Rules. The added benefit is that we are assured that we will receive revenue periodically that will aid in meeting our educational and operational mission while contributing renewable wood products to meet future needs of our society. Ultimately, this document allows Cal Poly to continue to practice sustainable forest management that provides unlimited education and research opportunities for students and faculty; all of which are in keeping with the vision of our benefactor, Al Smith.

Brian Dietterick, Ph.D., P.H.  
Director, Swanton Pacific Ranch

## ACKNOWLEDGEMENTS



Little Creek 2005

Many years of hard work, thought, and effort have gone into sustainable forest management at Swanton Pacific Ranch from many different individuals. This page and the list below honors those who have contributed to this project in the past and the present:

Al Smith	Dr. Douglas Piirto	Dr. Brian Dietterick	Dr. Wally Mark
Dr. Norm Pillsbury	Dr. Rich Thompson	Nadia Hamey	Steve R. Auten
Russ White	Drew Perkins	David Yun	Patricia Auten
David Haupt	Brian Morris	Matt Gilbert	Ashley McClung
Mike Jani	Eric Huff	Bob Reynolds	Larry Bonner
Paul Anderson	Tom Spittler	Dr. Grey Hayes	Jim West
John Bulger	Ryan Hilburn	Jerry Kurz	Joe Culver
Kevin Piper	Brenda Smith	Jared Tapero	Steve Butler
Bowman and Williams	La Donna Seeley	Tom Midgley	Bud McCrary
Duard Strong	Lud McCrary	Janet Webb	George DeLatorre
Robert Mitchell	Carl Ellis	Tim Peet	Matt Dias
Augie Fusari	Andy Morse	Colin Noyes	Ed Merrill
Kelly Huff	Jeff Reimer	Sam Fusari	Wally Reader
Brian Bishop	Tim Best	Michael Huyette	Roberta Smith
Bob Goldthwaite	Mike Gaedeke	Louis Haughney	Martha Beninger
Stacey Martinelli	Suzanne Deleon	Richard Fitzgerald	Donna Bradford
Chuck Whatford	Sean Hayes	Richard Sampson	Bill McIver
Lee Otter	Susan Craig	Jason Lessley	Jonathon Hayes
Antonio and Julian	Leslie Markham	Kirk Toups	Kristen Lovett
Susan Burgess	Gordon Claassen	Susan Claassen	Bob Berlage
Elizabeth Ball	Starr Lee	Steve Spafford	Cal Poly Corporation
Leslie Markham	Chris Maranto	Glenda Hill	Cal Poly Logging Team

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TOTAL ACREAGE 701 (Logging Area Only);

9. Planning Watershed: CALWATER Version, Identification Number, and Name:

**Little Creek Planning Watershed, Calwater version 2.2 identification #3304.110202**  
**Big Creek Planning Watershed, Calwater version 2.2 identification #3304.110201**

10. ☐ Yes ☒ No Has a Timberland Conversion been submitted and approved? If yes, list approval date, permit number, and expiration date.
11. ☐ Yes ☒ No Is there a THP or NTMP on file with CDF for any portion of the plan area for which a report of satisfactory stocking has not been issued by CDF? If yes, identify the THP or NTMP number(s):
12. ☒ Yes ☐ No Was a Notice of Preparation (NOP) posted as required by 14 CCR 1090.2 (g)? Who posted the Notice?

**The Notice of Preparation was prepared in conformance with 14 CCR 926.3, which is specific to Santa Cruz County. This notice was distributed and posted by the RPF. The complete noticing package and the RPF certification that this Notice was sent, published, and posted according to the applicable Forest Practice Rules is included in Section V.**

13. RPF preparing the NTMP: Name Nadia Hamey RPF Number 2788

Address 3564 Highway 1

City Davenport State CA Zip 95017 Phone (831) 457-6383

- a. ☒ Yes ☐ No I have notified the plan submitter(s), in writing, of their responsibilities pursuant to Title 14 CCR 1090.9 - .10 of the Forest Practice Rules, of their responsibilities for compliance with the Forest Practice Act and Board rules, and where applicable, Board rules regarding site preparation, stocking, and maintenance of roads, landings, and erosion control facilities.

**A copy of the letter which was sent is included in Section V.**

- b. ☒ Yes ☐ No I or my supervised designee will meet with the LTO prior to commencement of operations to advise of sensitive conditions and provisions of the plan pursuant to 14 CCR 1090.11.

- c. ☒ Yes ☐ No I will provide the LTO with a copy of the approved NTMP and Notice of Timber Operations (NTO) as per 14 CCR 1090.09(e) and (g). If no, who will provide the LTO a copy of the approved NTMP, subsequent amendments, and NTO?

d. I have the following authority and responsibilities for preparation and administration of the NTMP and timber operation. (Include both work completed and work remaining to be done):

1. The responsibility of NTMP preparation, layout, timber marking, plan review, and plan implementation is the sole responsibility of Nadia Hamey, RPF #2788. Steve R. Auten, Dr. Douglas Piirto, and Dr. Walter Mark are signatory to the NTMP so that they may take on RPF responsibilities along with Nadia Hamey following plan approval.
2. An RPF retained by the plan submitter is to provide professional advice to the LTO and timberland owner throughout timber operations, and to ensure that the RPF or supervised designee is present on the logging area at a sufficient frequency to know the progress of operations.
3. The RPF shall consult with the engineering geologist if additional clarifications on road construction for sites covered in the Focused Engineering Geologic Report are necessary.

e. Additional required work requiring an RPF, which I do not have the authority or responsibility to perform:

**No additional required work has been identified at this time.**

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JAN 30 2008

COAST AREA OFFICE  
RESOURCE MANAGEMENT

f. After considering the rules of the Board of Forestry and Fire Protection and the mitigation measures incorporated in this NTMP, I have determined that the timber operation:

☐ will have a significant adverse impact on the environment. (Statement of reasons for overriding considerations contained in Section III)

☒ will not have a significant adverse impact on the environment.

Registered Professional Forester: I certify that I, or my supervised designee, personally inspected the NTMP area, and this plan complies with the Forest Practice Act, the Forest Practice Rules and the Professional Foresters Law.

Signature Nadia Hamey Date 10/31/2007  
Nadia Hamey, RPF #2788

Signature Steve R. Auten Date 10/31/07  
Steve R. Auten, RPF #2734

Signature Douglas D. Piirto Date 10/27/07  
Dr. Douglas Piirto, RPF #2179

Signature Walter R. Mark Date 10/24/07  
Dr. Walter R. Mark, RPF #1250